



Strategies to Achieve Alignment, Collaboration, and Synergy across

Delivery and Financing Systems

# Financing Integrated Health and Social Services for Populations with Mental Illness

Research In Progress Webinar Wednesday, June 20, 2018 12:00-1:00 pm ET/ 9:00 am-10:00 am PT



### Agenda



Welcome: Shana Moore, PhD

Director of Dissemination and Research Development, RWJF Systems for Action National Coordinating Center

University of Kentucky College of Public Health

Presenters: Yuhua Bao, PhD

Associate Professor

Healthcare Policy & Research,

Division of Health Policy and Economics

Weill Cornell Medical College

Lisa Dixon, MD, MPH

Professor Psychiatry

New York State Psychiatric Institute Columbia University Medical Center

Commentary: Thomas Smith, MD

Associate Medical Director NYS Office of Mental Health &

**Medical Director** 

Division of Managed Care NYS Office of Mental Health

**Q & A:** Moderated by Dr. Shana Moore

#### Presenter





Yuhua Bao, PhD

Associate Professor
Healthcare Policy & Research
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#### Presenter





Lisa Dixon, MD, MPH

Professor
Psychiatry
New York State Psychiatric Institute
Columbia University Medical Center

### Commentary Speaker





### Thomas Smith, MD

Associate Medical Director
NYS Office of Mental Health &

Medical Director

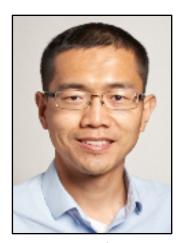
Division of Managed Care

NYS Office of Mental Health

## Research team



Yuhua Bao, PhD



Yan Li, PhD



Lisa Dixon, MD, MPH



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Jennifer Humensky, PhD



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Philip Jeng, MS





## Outline of the presentation

Brief background

A conceptual model to pay for early interventions for psychosis

Progress so far

Prototype of payment tool





# Schizophrenia and other psychosis are among the most serious and disabling mental health conditions

- Peak onset between 15 and 25 y.o.
- It can be years before a formal diagnosis is made
- Onset of condition usually derails an individual, leading to disruption in school or employment
- Without addressing recovery and function, it can lead to life-long disability
- Prior to 2005, many countries started developing early interventions for psychosis, but not US except OR and CA





# Policy environment circa 2005 not congruent with early interventions

- Community mental health centers focus on individuals with chronic mental illness and existing disability
- "Disability" as an eligibility requirement for Medicaid and other public services made early intervention less possible
- Emerging identification of evidence-based practices

Dixon et al. Annual Review of Clin Psych, 2018





# Coordinated Specialty Care (CSC) changes the paradigm of treating early psychosis

- Principles
  - Recovery orientation
  - Shared decision-making
  - Team of specialists (both clinicians and non-clinicians)
  - Minimize Duration of Untreated Psychosis (DUP)
- Key Service Elements
  - Case management, <u>Supported Employment/Education</u>, Psychotherapy, Family Education and Support, Pharmacotherapy and <u>Primary Care Coordination</u>
- Core Service Processes
  - Specialized training, Community outreach, Client and family engagement,
     Mobile outreach and Crisis intervention services, Shared decision-making

https://www.nimh.nih.gov/health/topics/schizophrenia/raise/what-is-coordinated-specialty-care-csc.shtml

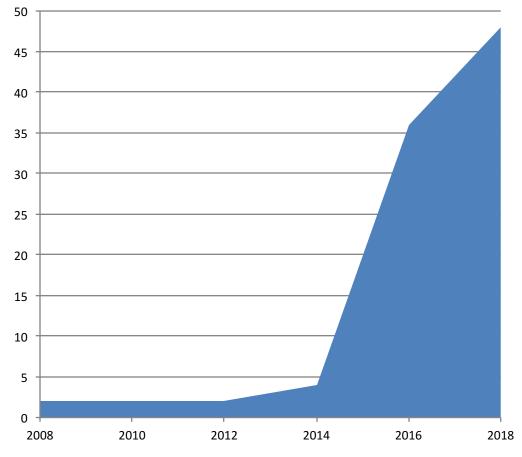




# New Federal Funding Accelerates Adoption of Evidence-Based Care for Early Psychosis

Dates and Milestones	
July, 2009:	RAISE studies begin
December, 2013:	RAISE feasibility study completed
January, 2014:	H.R. 3547 (\$25M set-aside for FEP)
April, 2014: NIMH/SAMHSA provide guidance to states	
December, 2014:	H.R. 88 (\$25M set-aside for FEP)
October, 2015:	RAISE clinical trial completed
October, 2015:	CMS coverage of FEP services
December, 2015:	H.R. 2029 (\$50M set-aside for FEP)

## **Cumulative Number of States with Early Psychosis Intervention Plans**



Mental Health Block Grant Plans: https://bgas.samhsa.gov/

My health. My choices. My future.



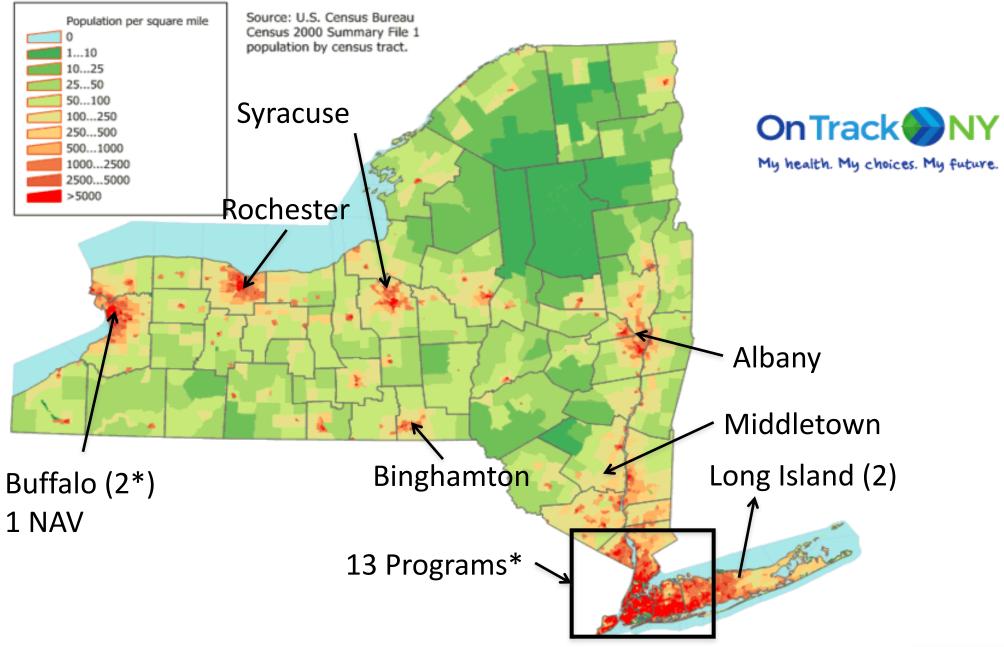


My health. My choices. My future.

OnTrackNY is an innovative treatment program for adolescents and young adults who recently have had unusual thoughts and behaviors or who have started hearing or seeing things that others don't. OnTrackNY helps people achieve their goals for school, work, and relationships.











# Financing early interventions for psychosis: Current approach remains idiosyncratic

- Mental Health Block Grant is seriously inadequate for populationwide deployment
- CSC teams typically take a patch-work approach to financing
  - MHBG funding
  - Insurance billing
  - Grants
  - Institutional supplements
- Scaling-up and sustaining CSC calls for a payment system that
  - Adequately covers the cost of evidence-based care
  - Aligns incentives with patient-centered, recovery-oriented care
  - Tailored to local preferences and practices





# A conceptual model for a multi-part payment system Frank, Glied, McGuire (2014)

#### Part I. Per-case payment

covering team leadership, community outreach, case management, supported employment and education (SEE)

Part II. Per-service payment covering pharmacotherapy, psychotherapy, family psychoeducation, SEE(?)

How will a payer/administrator operationalize this model?

How much should the payment rate be?

How much would they expect to pay, say, over 3 months?

Part III. Outcomes-based payment rewarding providers for achieving pre-defined target(s)



## Our S4A developmental project aims to

• **Develop analytical algorithms** of an innovative, multi-part payment system for CSC

 Develop and pilot test a decision support tool (DST) that enables CSC payers to tailor payment design to local needs and circumstances





## Two unique sources of data from OnTrackNY

#### **OnTrackNY Medicaid time study**

Conducted in June 2017

73 randomly selected Medicaid clients
Stratified by acuity

Detailed services (and duration) over a two-week window

Credential of service provider

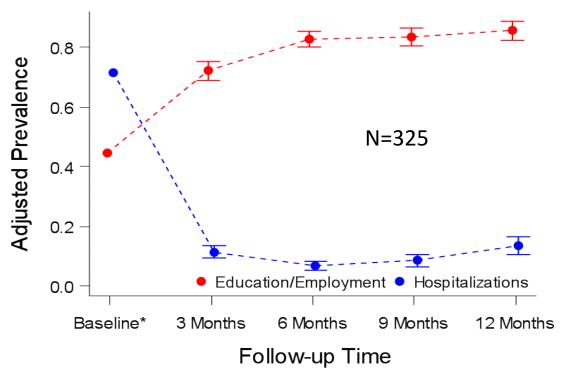
- → Relative resource use among
  Different types of services
  Clients of different acuity
  - →Informs analytical algorithms of case rate and per service payment designs

#### **OnTrackNY client assessment**

Every 3 months for each client

Assesses clinical, vocational, and other patient-centered outcomes

→Informs <u>outcome-based</u> payment design







## Project Progress and Future Steps

Done

- Access OnTrackNY data
- Develop analytical algorithms for payment design
- Develop prototype for CSC payment tool

Ongoing

- Implement prototype into an interactive tool
- Develop user testing protocol and interview guide
- Recruit test users for beta version of tool

To come

- User tests of tool (Target = 10 test users)
- Analysis of user test interview data
- Refine payment tool based on findings of user test





## The CSC payment tool prototype





## Thank You!

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### **Upcoming Webinars**



#### **Archives**

http://systemsforaction.org/research-progress-webinars

### **Upcoming**

Wednesday, July 11, 2018, 12 p.m., ET

Systems for Action Individual Research Project

Redesigning Health and Social Systems for the Cheyenne River Sioux Tribe Using Community-Engaged Decision-Making Barbara J. Quiram, PhD, and David Washburn, ScD, SM, Texas A&M University

Wednesday, July 25, 2018, 12 p.m., ET

Systems for Action Individual Research Project

**Integrating Health and Social Services for Veterans by Empowering Family Caregivers** 

Megan Shepherd-Banigan, PhD, MPH, Department of Veteran Affairs and Duke University

Wednesday, August 8, 2018, 12 p.m., ET

Systems for Action Individual Research Project

**Testing a New Terminology System for Health and Social Services Integration** 

Miriam Laugesen, PhD, and Sara Abiola, PhD, JD, Columbia University Mailman School of Public Health

### Questions?



www.systemsforaction.org

### Acknowledgements

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and

