#### **University of Kentucky**

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# Income and Health Inequalities and their Relationship to P opulation Health Delivery Systems

Glen P. Mays, University of Kentucky



# Income and Health Inequalities and their Relationship to Population Health Delivery Systems

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systemsforaction.org

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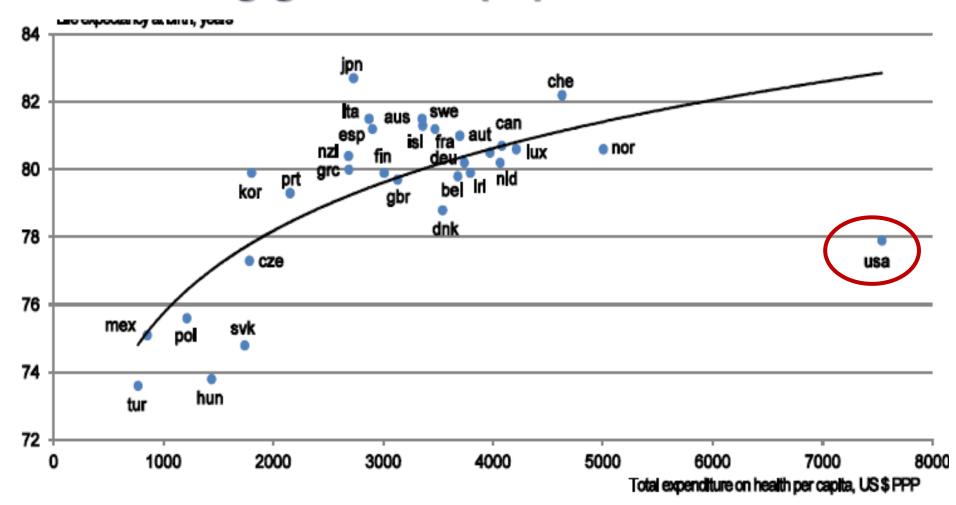


Systems for Action

National Coordinating Center

Systems and Services Research to Build a Culture of Health

### Losing ground in population health

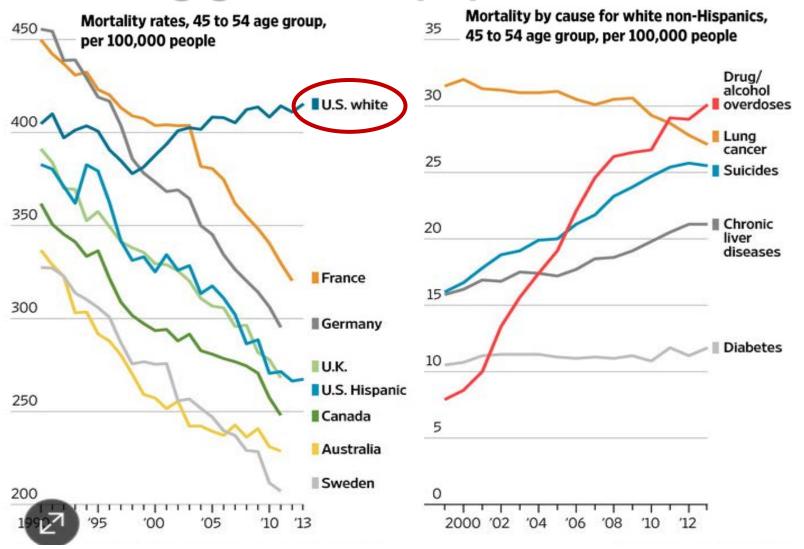


Or latest year available.

Source: OECD Health Data 2010.

WHO 2010

### Losing ground in population health



Case A, Deaton A. Proceedings of the National Academy of Sciences 2015

#### Income disparities in population health



Chetty et al. JAMA 2016

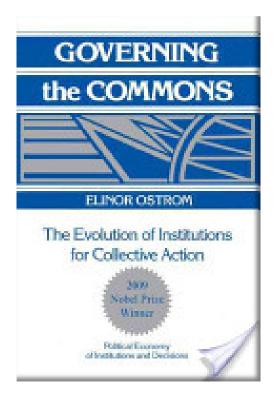
# How do we support effective population health improvement strategies?

- Designed to achieve large-scale health improvement: neighborhood, city/county, region
- Target fundamental and often multiple determinants of health
- Mobilize the collective actions of multiple stakeholders in government & private sector
  - Infrastructure
  - Information
  - Incentives

Mays GP. Governmental public health and the economics of adaptation to population health strategies. *National Academy of Medicine Discussion Paper*. 2014. http://nam.edu/wp-content/uploads/2015/06/EconomicsOfAdaptation.pdf

# Challenge: overcoming collective action problems across systems & sectors

- Incentive compatibility → public goods
- Concentrated costs & diffuse benefits
- Time lags: costs vs. improvements
- Uncertainties about what works
- Asymmetry in information
- Difficulties measuring progress
- Weak and variable institutions & infrastructure
- Imbalance: resources vs. needs
- Stability & sustainability of funding



**Ostrom E.** 1994

Discussion

Motivation Approach Results

# Catalytic functions to support multi-sector actions in health

Engage stakeholders

Monitor, evaluate, feed back



Mobilize multisector implementation Foundational Capabilities for Population Health

Assess needs & risks

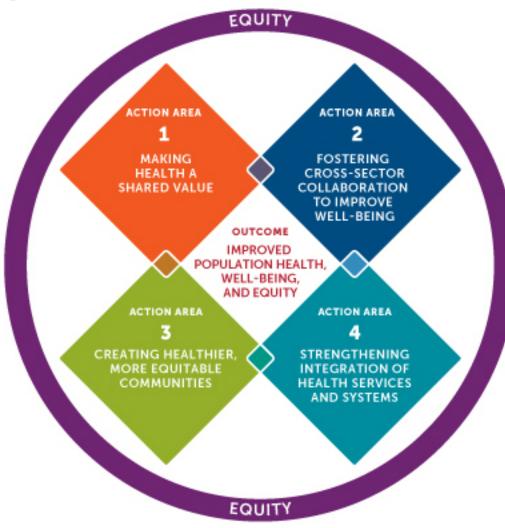


Prioritize & recommend actions

Develop plans & policies

National Academy of Sciences Institute of Medicine: For the Public's Health: Investing in a Healthier Future. Washington, DC: National Academies Press; 2012.

#### **Guided by Culture of Health Action Framework**



http://www.rwjf.org/en/culture-of-health/2015/11/measuring\_what\_matte.html

Robert Wood Johnson Foundation

#### **Questions of interest**

- How strong are the delivery systems that support foundational population health activities?
- How do these delivery systems change over time?
  - Recession | Recovery | ACA implementation
- How do these delivery systems relate to income disparities in population health?

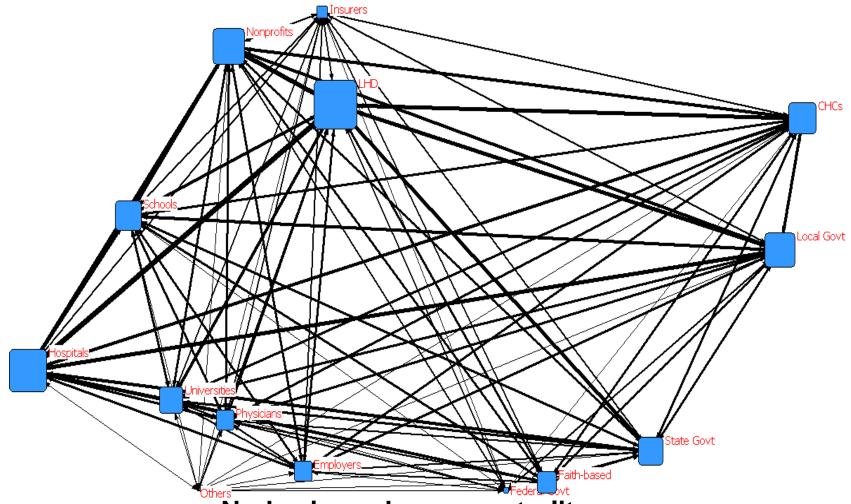
# A useful lens for studying multi-sector work

#### **National Longitudinal Survey of Public Health Systems**

- Cohort of 360 communities with at least 100,000 residents
- Followed over time: 1998, 2006, 2012, 2014\*\*, 2016
- Local public health officials report:
  - Scope: availability of 20 recommended population health activities
  - Network: organizations contributing to each activity
  - Centrality of effort: contributed by governmental public health agency
  - Quality: perceived effectiveness of each activity

<sup>\*\*</sup> Expanded sample of 500 communities<100,000 added in 2014 wave

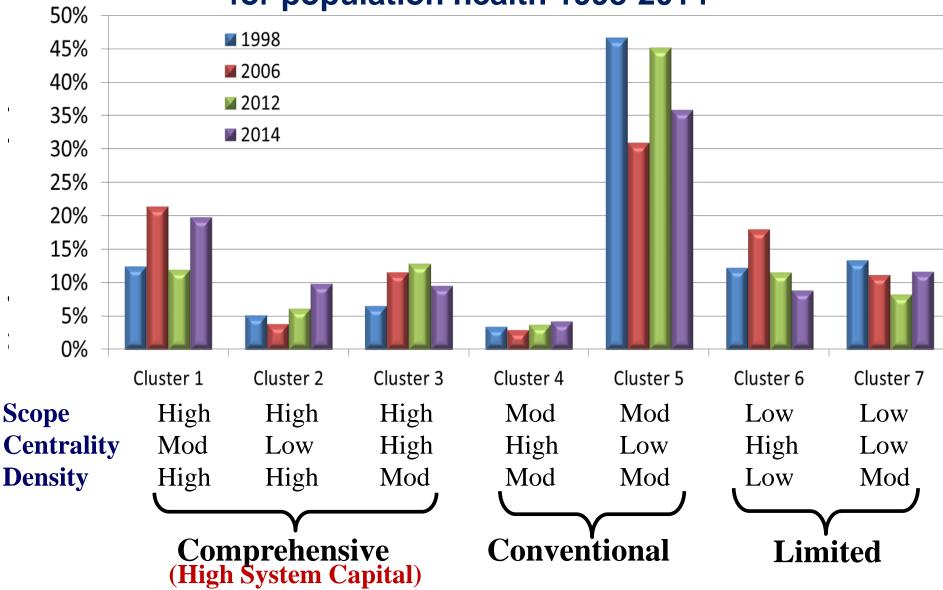
#### Mapping who contributes to population health



Node size = degree centrality
Line size = % activities jointly contributed (tie strength)

Mays GP et al. Understanding the organization of public health delivery systems: an empirical typology. *Milbank Q.* 2010;88(1):81–111.

# Classifying multi-sector delivery systems for population health 1998-2014



Motivation Approach Results

Discussion

### **Comprehensive Systems**

#### One of RWJF's Culture of Health National Metrics

- Broad scope of population health activities
- Dense network of multi-sector relationships
- Central actors to coordinate actions

#### Access to public health

Overall, 47.2 percent of the population is covered by a comprehensive public health system. Individuals are more likely to have access if they are non-White (51.5 percent vs. 45.5 percent White) or live in a metropolitan area (48.7 percent vs. 34.1 percent in nonmetropolitan areas).

47.2%

of population served by a comprehensive public health system

http://www.cultureofhealth.org/en/integrated-systems/access.html

#### Data linkages expand analytic possibilities

- Area Health Resource File: health resources, demographics, socioeconomic status, insurance coverage
- NACCHO Profile data: public health agency institutional and financial characteristics
- CMS Impact File & Cost Report: hospital ownership, market share, uncompensated care
- Dartmouth Atlas: Area-level medical spending (Medicare)
- CDC Compressed Mortality File: Cause-specific death rates by county
- Equality of Opportunity Project (Chetty): local estimates of life expectancy by income
- National Health Interview Survey: individual-level health
- HCUP: area-level hospital and ED use, readmissions

#### Chetty's data: life expectancy by income

- Income data: federal tax records for every filer for every year 1999-2014 (pre-tax household earnings): 1.4B person-years
- Mortality data: SSA death records: 6.8M deaths
- Period life expectancy: estimated conditional on income percentile at 40 years of age
- Geography: Life expectancy by income quartile estimated for counties (n>3000) and for commuting zones (n=741) by year

# Estimating how population health delivery systems relate to life expectancy by income

- Panel regression estimation with fixed and random effects to account for repeated measures and clustering of public health jurisdictions within states
- Two-stage instrumental-variables model to estimate effect of system changes on life expectancy (residual inclusion method)

$$Prob(System_{ijt}=Comprehensive) = f(Governance, Agency, Community)_{ijt} + State_i + Year_t$$

$$E(LE_{ijt}) = f(System + resid, Agency, Community)_{ijt} + State_i + Year_t + \varepsilon_{ijt}$$

All models control for type of jurisdiction, population size and density, metropolitan area designation, income per capita, unemployment, poverty rate, racial composition, age distribution, physician and hospital availability, insurance coverage, and state and year fixed effects. **N=1019 community-years** 

## Implementation of population health activities, 1998-2014

	<u>Activity</u>	<u> 1998</u>	<u>2014</u>	% Change
sessm	1. Conduct periodic assessment of community health status and needs	71.5%	87.1%	21.8%
	2. Survey community for behavioral risk factors	45.8%	71.1%	55.2%
	3. Investigate adverse health events, outbreaks and hazards	98.6%	100.0%	1.4%
	4. Conduct laboratory testing to identify health hazards and risks	96.3%	96.1%	-0.2%
	5. Analyze data on community health status and health determinants	61.3%	72.7%	18.6%
4	6. Analyze data on preventive services use	28.4%	39.0%	37.3%
guir	7. Routinely provide community health information to elected officials	80.9%	84.0%	3.8%
	8. Routinely provide community health information to the public	75.4%	82.3%	9.1%
	9. Routinely provide community health information to the media	75.2%	89.0%	18.3%
an	10. Prioritize community health needs	66.1%	83.6%	26.5%
Ē	11. Engage community stakeholders in health improvement planning	41.5%	68.8%	65.7%
Policy/Plannin	12. Develop a community-wide health improvement plan	81.9%	87.9%	7.3%
	13. Identify and allocate resources based on community health plan	26.2%	41.9%	59.9%
	14. Develop policies to address priorities in community health plan	48.6%	56.8%	16.9%
e	15. Maintain a communication network among health-related organizations	78.8%	85.3%	8.2%
	16. Link people to needed health and social services	75.6%	50.0%	-33.8%
an	17. Implement legally mandated public health activities	91.4%	92.4%	1.1%
Assurance	18. Evaluate health programs and services in the community	34.7%	37.9%	9.4%
SS	19. Evaluate local public health agency capacity and performance	56.3%	56.1%	-0.3%
∢	20. Monitor and improve implementation of health programs and policies	47.3%	46.4%	-1.9%
	Mean performance of assessment activities (#1-6)	67.0%	77.7%	15.9%
	Mean performance of policy and planning activities (#7-15)	63.9%	75.5%	18.3%
	Mean performance of implementation and assurance activities (#16-20)	61.1%	56.6%	-7.3%
	Mean performance of all activities	63.8%	67.6%	6.0%

### Organizational contributions to population health activities, 1998-2014

#### % of Recommended Activities Implemented

			Percent
Type of Organization	<u>1998</u>	<u>2014</u>	<u>Change</u>
Local public health agencies	60.7%	67.5%	11.1%
Other local government agencies	31.8%	33.2%	4.4%
State public health agencies	46.0%	34.3%	-25.4%
Other state government agencies	17.2%	12.3%	-28.8%
Federal government agencies	7.0%	7.2%	3.7%
Hospitals	37.3%	46.6%	24.7%
Physician practices	20.2%	18.0%	-10.6%
Community health centers	12.4%	29.0%	134.6%
Health insurers	8.6%	10.6%	23.0%
Employers/businesses	16.9%	15.3%	-9.6%
Schools	30.7%	25.2%	-17.9%
Universities/colleges	15.6%	22.6%	44.7%
Faith-based organizations	19.2%	17.5%	-9.1%
Other nonprofit organizations	31.9%	32.5%	2.0%
Other	8.5%	5.2%	-38.4%

#### Changes in system prevalence and coverage

System Capital Measures	1998	2006	2012	2014	
Comprehensive systems					
% of communities	24.2%	36.9%	31.1%	39.5%	
% of population	25.0%	50.8%	47.7%	47.2%	
Conventional systems					
% of communities	50.1%	33.9%	49.0%	40.2%	
% of population	46.9%	25.8%	36.3%	32.5%	
Limited systems					
% of communities	25.6%	29.2%	19.9%	20.3%	
% of population	28.1%	23.4%	16.0%	19.6%	

Mays GP, Hogg RA. Economic shocks and public health protections in US metropolitan areas. Am J Public Health. 2015;105 Suppl 2:S280-7.

#### **Predictors of Comprehensive System Capital**

	Variable	Marginal Effect	S.E.	
	Population size (10,000s)	0.033	0.009	***
	Poverty rate (10%)	-0.033	0.016	**
	Policy-making local BOH (0,1)	0.046	0.016	***
CX 7	Centralized local health agency (0,1)	-0.087	0.036	**
IVs –	Local control of health budget (0,1)	0.043	0.022	*
	Local health tax/fee authority (0,1)	0.028	0.011	**

Models also control for racial composition, unemployment, health insurance coverage, educational attainment, age composition, and year fixed effects. N=1019 community-years

# Effects of Comprehensive System Capital on Life Expectancy

Variable	Coeff.	S.E.	
Single-equation estimates			
Bottom income quartile	2.36	1.21	
Top income quartile	-0.04	0.09	
Difference	-2.21	1.09	
IV Estimates			
Bottom income quartile	4.11	1.86	**
Top income quartile	0.85	0.48	
Difference	-3.02	1.44	**

Models also control for racial composition, unemployment, health insurance coverage, educational attainment, age composition, and year fixed effects. N=1019 community-years

### Some preliminary conclusions

- Post-recession progress in strengthening population health delivery systems
- Large potential reductions in preventable mortality over time (forthcoming)
- Multi-sector work in population health may also help to reduce disparities in life expectancy
- Inequities in population health activities are nontrivial

### **Ongoing work**

- Robustness to alternative specifications
- Lagged and cumulative effects
- Trajectories of system strength over time
- Proximal outcomes
- Value-added of specific combinations of activities and organizations

#### For More Information

### Systems for Action

National Coordinating Center

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